

# Stocks and Shares ISA Transfer Application Form

Please complete in BLOCK CAPITALS using BLACK INK. **PLEASE NOTE:** Any applications received that are not completed correctly may incur delays or may have to be returned to you. FundsNetwork™ and the FundsNetwork® logo are trademarks of Fidelity International Limited.

Applications to Transfer your Stocks and Shares ISA can only be accepted using original forms. Photocopies or faxed copies cannot be accepted. Complete one Stocks and Shares ISA Transfer Application form, regardless of the number of Account Managers that you are transferring ISA proceeds from.

**This Application Form MUST be submitted with fully completed ISA Transfer Letter(s) of Authority**

## 1 Personal Details and Legal Requirements

TITLE	SURNAME	MALE	OR	FEMALE
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>		<input type="checkbox"/>

FIRST NAME(S) IN FULL

ADDRESS ("Care of" and PO Box are not acceptable. Only UK mainland and Northern Ireland addresses are eligible) HOUSE NUMBER AND/OR HOUSE NAME	EXISTING CLIENTS ONLY ACCOUNT NUMBER OR CLIENT NUMBER
<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>

STREET, CITY, COUNTY AND COUNTRY DETAILS	CONTACT TELEPHONE NUMBER (in case of query)
<input style="width: 100%; height: 40px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>

POSTCODE	DATE OF BIRTH
<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>

NATIONAL INSURANCE NUMBER (Will be 9 characters: 2 letters, 6 numbers followed by the letter A, B, C, or D.)	→ NO NATIONAL INSURANCE NUMBER? If you have never been issued with a National Insurance Number please mark an X in the box.	CROWN EMPLOYEE/SPOUSE OF CROWN EMPLOYEE? If your address is outside of the UK and you are a Crown Employee or the spouse/civil partner of a Crown Employee please mark an X in the box. Please refer to the legal declaration in Section 4 for an explanation.
<input style="width: 100%; height: 20px;" type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

## 2 Investment Details

Please complete your fund choice(s) and investment amount(s) below and refer to the Key Features Document/Simplified Prospectus or visit [www.fundsnetwork.co.uk/findafund](http://www.fundsnetwork.co.uk/findafund) for the Fund Code and Fund Name. Note: Your fund choice will be derived by the Fund Code that you enter and not the Fund Name. Please note that you cannot transfer a Stocks and Shares ISA into a Cash ISA. If you wish to transfer a Cash ISA into a Fidelity Cash ISA, please ensure you have completed a Cash ISA transfer application form. All Stocks and Shares ISA transfers will be invested into managed funds. If you are transferring both pre and post 1999 ISAs, all proceeds will be invested within the funds identified below.

FUND CODE	FUND NAME	% OF YOUR ISA PROCEEDS TO BE INVESTED
<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>
<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>
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<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>
<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>

Income Option? If you have chosen income funds the income will be automatically re-invested unless you complete your bank details overleaf in Section 3.	TOTAL <input style="width: 100%; height: 20px;" type="text"/>
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PLEASE TURN OVER

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**Mandate Details - Income**

I authorise Fidelity International to make income payments arising from my holdings by direct credit transfer to the bank/building society account detailed below. This section **MUST** be completed if you opt to have income paid out.

NAME(S) OF ACCOUNT HOLDER(S) — THIRD PARTIES ARE NOT ACCEPTED

BANK/BUILDING SOCIETY ACCOUNT NUMBER

BRANCH SORT CODE

**BUILDING SOCIETY COLLECTION ACCOUNT NUMBER (IF APPLICABLE) \***  
 \* Building Society accounts — the sort code and building society collection account number can be obtained from your Building Society branch. Please ensure that your Building Society account will accept direct credit payments through the Banks Automated Clearing system. Fidelity does not accept instructions for payments to be made to an account other than the client's own personal account. If the account number and sort code are incorrect, Fidelity will not accept responsibility for any loss incurred by the applicant.

NAME AND ADDRESS OF BANK OR BUILDING SOCIETY

**Note:** Income payments will be paid monthly and will include any income paid by the funds and any income tax reclaims received within your ISA during the previous month. For further information on income payments, please refer to the Key Features Document/Simplified Prospectus.

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**Declaration & Signature**

Your Fidelity ISA is managed by Financial Administration Services Limited, a Fidelity Group company. I understand that the information I provide on this application form will be processed in accordance with Fidelity's data protection statement contained in the Key Features Document/Simplified Prospectus and Terms referred to below.

By signing below, I confirm that I have received the relevant Key Features Document/Simplified Prospectus relating to this investment, and Terms which I accept. I declare that:

- All subscriptions made, and to be made, belong to me, and that I am 18 years of age or over.
- I have not subscribed and will not subscribe to another Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA.
- I have not subscribed and will not subscribe more than £7200 in total to a Cash ISA and a Stocks and Shares ISA in the same tax year.
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with a person who performs such duties. I will inform Fidelity if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I authorise Financial Administration Services Limited:
  - i to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
  - ii to make on my behalf any claims to relief from tax in respect of ISA investments.
- The information given by me is correct to the best of my knowledge, and I will inform Fidelity immediately of any changes to the information contained therein.

A summary of Fidelity's Best Execution Policy can be found at Appendix 1 to the Fidelity Client terms. By your signature below you will be taken to have given your consent to the Best Execution policy, and, where appropriate, your prior express consent to our executing orders outside a regulated market or multilateral trading facility (within the meaning of the FSA rules).

**SIGNATURE AND DATE (YOU MUST SIGN HERE - Please ensure all relevant sections are completed as per the instructions on this form)**  
 If you are signing the application form by Power of Attorney, please call Fidelity for the details of documentation that is required for this to be acceptable.

**X**

**X** Please mark an X in the box if you have not received advice from an Intermediary regarding this investment.

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**Intermediary Details**

This section should only be completed by Intermediaries. Please enter the appropriate details here and avoid supplying information on separate sheets.

**INTERMEDIARY STAMP**

Allenbridge Group plc  
 17 Hill Street, London, W1J 5NZ  
 Tel: 020 7409 1111  
 VAT No: 444 0202 01  
 FSA No: 137716

**UNIQUE ADVISER NUMBER**

**FSA FIRM REF NO.**

I confirm that I am registered with the FSA to conduct business and my authorisation number is:

**COMMISSION INSTRUCTIONS.**

Please enter the percentage (%) commission you wish to take here, for this specific client, in 0.25% increments. If left blank, standard terms will apply.

.   %

OFFICE USE ONLY

Please be aware that if the Agency details differ to those already on the client's Fidelity account then the new details will override. This will mean any ongoing commission payments will be paid to the new agency from the deal settlement date.

